

Criteria for normality via C_0 -semigroups and moment sequences

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(based on a joint paper with I.B. Jung and J. Stochel)

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This leads to a Friedland's result (1982).

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Then $\{S(t)^*\}_{t \geq 0}$ is the proper replacement for e^{tA^*} .

A family $\{S(t)\}_{t \geq 0}$ of bounded operators is called a **C_0 -semigroup** if

- $S(t_1 + t_2) = S(t_1)S(t_2)$,
- $S(0)$ is the identity operator,
- $\lim_{t \rightarrow 0^+} S(t)f = f$ for all f .

A is called the **infinitesimal generator** of $\{S(t)\}_{t \geq 0}$ if

$$Af = \lim_{t \rightarrow 0^+} \frac{1}{t}(S(t)f - f)$$

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The proof of (i) \Rightarrow (ii). Take $h \in \mathcal{H}$, $\alpha \in (0, 1)$, $t_1, t_2 \in [0, \infty)$.
Then

$$\begin{aligned}\|S(\alpha t_1 + (1 - \alpha)t_2)h\|^2 &= \int_{\mathbb{C}} |e^{\alpha t_1 \lambda}|^2 \cdot |e^{(1-\alpha)t_2 \lambda}|^2 \mu_h(d\lambda) \\ &\leq \left(\int_{\mathbb{C}} (|e^{\alpha t_1 \lambda}|^2)^{\frac{1}{\alpha}} \mu_h(d\lambda) \right)^{\alpha} \left(\int_{\mathbb{C}} (|e^{(1-\alpha)t_2 \lambda}|^2)^{\frac{1}{1-\alpha}} \mu_h(d\lambda) \right)^{1-\alpha} \\ &= \|S(t_1)h\|^{2\alpha} \|S(t_2)h\|^{2(1-\alpha)}.\end{aligned}$$

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Moreover, if (i) holds, then $\mathcal{N}(S(t)) = \{0\}$, $t \geq 0$.

Proof of (iii) \Rightarrow (ii) is based on a lemma stating that a differentiable function on $[a, b]$, which is convex on the left from every point, is convex of class C^1 .

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(ii) \Rightarrow (i). By convexity we have

$$\log \|S(t)h\| \leq \frac{1}{2} (\log \|S(0)h\| + \log \|S(2t)h\|), \quad h \in \mathcal{H},$$

which means that

$$\|S(t)h\|^2 \leq \|h\| \cdot \|S(t)^2h\|, \quad h \in \mathcal{H},$$

thus $S(t)$ is paranormal. So is $S(t)^*$. Moreover, kernels $S(t)$ and $S(t)^*$ are equal (since both equal to $\{0\}$). By the Ando theorem we get the normality of $S(t)$, hence normality of A .

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Proposition

The infinitesimal generator of a compact C_0 -semigroup $\{S(t)\}_{t \in [0, \infty)}$ is normal if and only if the function $t \mapsto \log \|S(t)h\|$ is convex on $[0, \infty)$ for every $h \in \mathcal{H}$.

Proof. Convexity \Rightarrow paranormality of $S(t) \Rightarrow$ normality of $S(t)$
(by Istrăţescu, Saitô & Yoshino, 1966) \Rightarrow normality of A .

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Normality via moment sequences

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A bounded operator A is normal if and only if $\mathcal{N}(A) = \mathcal{N}(A^)$ and for some integers $j, k \geq 1$ (equivalently: for all integers $j, k \geq 1$) the sequences $\{\|A^n h\|^{2j}\}_{n=0}^\infty$ and $\{\|A^{*n} h\|^{2k}\}_{n=0}^\infty$ are Hamburger moment sequences for every $h \in \mathcal{H}$.*

Proof.

(\Rightarrow) Mainly by the spectral theorem.

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$$\begin{aligned} (\|Ah\|^{2j})^2 &= \left(\int_{\mathbb{R}} t \mu_h(dt) \right)^2 \\ &\leq \int_{\mathbb{R}} t^2 \mu_h(dt) \int_{\mathbb{R}} t^0 \mu_h(dt) = \|A^2 h\|^{2j} \|h\|^{2j}, \end{aligned}$$

so A is paranormal. The same for A^* . By the Ando theorem A is normal. \square

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A compact operator A is normal if and only if for some integer $j \geq 1$ (equivalently: for all integers $j \geq 1$) the sequence $\{\|A^n h\|^{2j}\}_{n=0}^{\infty}$ is a Hamburger moment sequence for every $h \in \mathcal{H}$.

Open question

Fix integer $j \geq 2$ and assume that $\{\|A^n h\|^{2j}\}_{n=0}^{\infty}$ is a Hamburger moment sequence for every $h \in \mathcal{H}$. Does it follow that A is subnormal?

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And Now for Something Completely Different

*54·43. $\vdash :: \alpha, \beta \in 1 . \supset : \alpha \cap \beta = \Lambda . \equiv . \alpha \cup \beta \in 2$

Dem.

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$\vdash . (1) . *11·11·35 . \supset$

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$\vdash . (2) . *11·54 . *52·1 . \supset \vdash . \text{Prop}$

From this proposition it will follow, when arithmetical addition has been defined, that $1 + 1 = 2$.